

## IN THE UNITED STATES RECEIVING OFFICE

Applicant : Stephen Little et al.  
Serial No. : 09/889,415  
Filed : July 17, 2001  
Title : OLIGONUCLEOTIDE ARRAY AND METHODS OF USE

**BOX PCT**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Responsive to the Notification of Missing Requirements under 35 U.S.C. 371  
mailed May 1, 2002 (a copy of which is enclosed), Applicant as a large entity submits herewith  
the following:

- ☒ A check in payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- ☒ A check in payment of the surcharge of \$160 for 2 independent claims over 3;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63 (2 pages);
- ☒ A Preliminary Amendment (4 pages);
- ☒ A Verified Statement under 37 CFR §1.821(f); and
- ☒ A paper copy (1 page) and computer-readable copy (1 diskette) of the Sequence Listing.

07/30/2002 LLANDGRA 00000071 061050 09889415

02 FC:154		130.00 OP
03 FC:964	8.00 CH	160.00 OP

## CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL950701297US

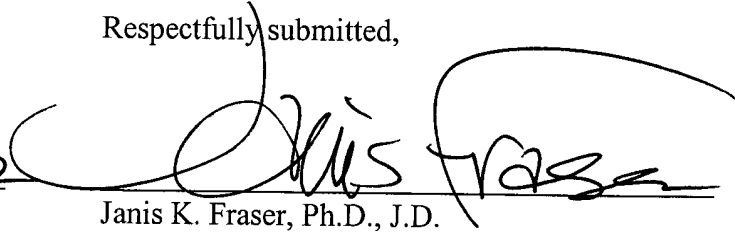
July 26, 2002  
Date of Deposit

Applicant : Stephen Little  
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Page : 2

Attorney's Docket No.: 06275-  
289001 / SAD/LDG/Z70478/UST

The enclosed Preliminary Amendment removes all multiple dependency, rendering moot the requirement to pay a \$270 surcharge for multiple dependency. It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050, referencing attorney docket number 06275-289001.

Respectfully submitted,

Date: July 26, 2002 

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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/889,415	FIRST NAMED APPLICANT Stephen Little	ATTY. DOCKET NO. P282677
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INTERNATIONAL APPLICATION NO. PCT/GB00/00357	
I.A. FILING DATE 02/08/2000	PRIORITY DATE

00909

PILLSBURY WINTHROP, LLP  
P.O. BOX 10500  
MCLEAN, VA 22102

**RECEIVED**

PILLSBURY WINTHROP LLP/DC

MAY - 6 2002

CONFIRMATION NO. 3801

371 FORMALITIES LETTER



\*OC00000007974521\*

CL# 9901 MT# 282677  
ATTY(S) PLS RWH  
Date Mailed: 05/01/2002  
DUE: 6/1/02  
DKT BY (1) [signature] (2) [signature]

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments

Docketed By	Practice Section
Action Code:	M. Reg. & Seq. Listing
Base Date:	5-1-02
Due Date:	7-1-02
Deadline:	11-1-02
Initial:	JME

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

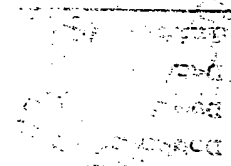
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

The following items **MUST** be furnished within the period set forth below:



• The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."

• For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

- Additional claim fees of **\$430** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$430** for a Large Entity:

- Total additional claim fee(s) for this application is **\$430**
  - **\$160** for 2 independent claims over 3.
  - **\$270** for multiple dependant claims surcharge.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 305-3737

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/889,415	PCT/GB00/00357	P282677